

# NHS Golden Jubilee

**Meeting:** NHS GJ Board Meeting  
**Meeting date:** 17 November 2022  
**Title:** Audit and Risk Committee  
**Responsible Executive/Non-Executive:** Karen Kelly  
**Report Author:** Michael Breen

## 1 Purpose

**This is presented to the Board for:**

- Awareness

**This report relates to a:**

- Government policy/directive
- Local policy

**This aligns to the following NHSScotland quality ambition(s):**

- Governance arrangements are aligned to corporate objectives

## 2 Report summary

The Audit and Risk Committee (ARC) was held on 8 November 2022 and the following key points were noted at the meeting.

Item	Details
Effective	<ul style="list-style-type: none"> <li>• <b>Counter Fraud Quarterly Update</b></li> </ul> <p>The Committee were advised the Counter Fraud Services (CFS) had recently published their quarterly report at 30 September 2022 and there was one case under investigation by the CFS which involved a member of NHS GJ staff, but who was employed by another NHS Board. The Committee will be updated in due course on the outcomes of the CFS investigation.</p>

	<ul style="list-style-type: none"> <li>• <b>Hospital Expansion Project</b></li> </ul> <p>The draft of the NHS Assure Key Stage Review report was issued on 19 August 2022 for factual accuracy checking and following review and feedback some minor amendments were made and the final report was formally issued on 3rd November 2022.</p> <p>The Committee received assurances there was continued focus on mitigating risks that might impact scheduled opening.</p> <ul style="list-style-type: none"> <li>• <b>Board Risk Register</b></li> </ul> <p>The Committee were updated on recent changes including both system wide and NHS GJ specific developments and noted there was one new proposed risk regarding the ability to attract, retrain and accommodate staff from international recruitment. The Committee approved the Risk Register as set out.</p> <ul style="list-style-type: none"> <li>• <b>NIS Audit NIS Audit &amp; Cyber Update</b></li> </ul> <p>The Committee were advised on the Network and Information Systems (NIS) review audit which took place within NHS GJ on 27 October 2022. The update provided to Committee detailed the progress made on the recommendations from the 2021 audit report. Feedback received from the auditor highlighted considerable progress had been made by NHS GJ from the last audit review in 2021. The final 2022 report is expected to be issued during quarter one of 2023 and an update will be provided to the Committee once available.</p>
<b>Auditor Reports</b>	<ul style="list-style-type: none"> <li>• <b>Auditor Reports</b></li> </ul> <p>Peter Clark from Grant Thornton UK LLP presented the Auditor Reports.</p> <ul style="list-style-type: none"> <li>• <b>Internal Audit Progress Report</b></li> </ul> <p>The Committee were advised there are currently three reviews planned with initial scoping completed;</p> <ul style="list-style-type: none"> <li>• Cyber security – assurance review with fieldwork underway.</li> <li>• Hotel – advisory review with dates agreed for fieldwork.</li> <li>• Consultant Job Planning – assurance review with dates agreed for fieldwork.</li> </ul> <ul style="list-style-type: none"> <li>• <b>Health and Well being</b></li> </ul> <p>Peter Clark advised the Committee on the review which was requested by the Executive Team. The Committee noted the results of the audit were reassuring with the review highlighting just two low rated findings and as such concluded that the controls in place in respect of Staff Wellbeing provided a reasonable level of assurance and reflected good practice with a clear strategic plan.</p>

	<ul style="list-style-type: none"><li>• <b>External Audit Appointment</b></li></ul> <p>The Committee were advised that KPMG LLP had been appointed by Audit Scotland as the new External Auditors for the Board for the period 2022/23 to 2027/28. It was also noted that introductory meetings would be arranged and KPMG will attend the next Audit &amp; Risk Committee meeting in February 2023.</p> <ul style="list-style-type: none"><li>• <b>Internal Audit Contract Tender</b></li></ul> <p>This item was taken in private and provided the Committee with an update on the joint procurement of Internal Audit services for NHS GJ along with NHS Greater Glasgow and Clyde, NHS Ayrshire and Arran and NHS 24. The Internal Audit Contract Tender is expected to conclude in January 2023 and formal approval of the outcome of this exercise will be required from the Audit and Risk Committee. In order to consider the outcomes of the tender exercise in January 2023 the Committee confirmed their agreement to an additional single item virtual meeting as their next meeting of the Audit and Risk Committee is scheduled for February.</p>
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The next meeting is scheduled for Thursday 14 February 2023, with the possibility of a further single item meeting as described above.

### **3 Recommendation**

Board Members are asked to note the Audit and Risk Committee Update.

**Karen Kelly Chair, Audit and Risk Committee**  
**10 November 2022**